



NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**

ROY COOPER • Governor
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Division of Public Health

MEMORANDUM

TO: North Carolina Immunization Program (NCIP) Participants

FROM: Wendy Holmes, R.N., Head *WH*
Immunization Branch

DATE: August 3, 2020

SUBJECT: Revised Medical Exemption Statement and Physician's Request for Medical Exemption Forms

The purpose of this memo is to notify NCIP providers of important revisions to the Medical Exemption Statement Form (DHHS-3987) and the Physician's Request for Medical Exemption Form (DHHS-3995). Providers should begin using the revised forms effective August 3, 2020. Please discard or recycle any previous editions of these forms and only use the updated versions with an "08/20" revision date.

The revised forms are included for your convenience and use. Additional copies may be downloaded from the Immunization Branch web site at: <https://www.immunize.nc.gov/schools/ncexemptions.htm>.

Providers who have questions about the forms should contact the Immunization Branch Nurse Call Line at 919-707-5575.

Thank you for all you do to protect the health of North Carolinians.

Attachments (2): Medical Exemption Statement Form (DHHS-3987); Physician's Request for Medical Exemption Form (DHHS-3995)

cc: SMT	IB Staff	Vaccine Manufacturers	Elizabeth Hudgins
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NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • DIVISION OF PUBLIC HEALTH, IMMUNIZATION BRANCH

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AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER

NC MEDICAL EXEMPTION STATEMENT FORM DHHS 3987

Purpose: To provide physicians licensed to practice medicine in North Carolina, a mechanism to certify, pursuant to [G.S. 130A-156](#), a medical exemption to a required immunization(s) due to a contraindication adopted by the NC Commission for Public Health. As set out in [10A NCAC 41A .0404](#), the NC Commission for Public Health has adopted the contraindications that are recommended by the Advisory Committee on Immunization Practices (ACIP). These contraindications are listed on this form. This form does not need to be submitted for approval to the State Health Director and may be accepted by agencies that require proof of immunizations. For medical exemptions NOT listed in the table below, submit the [Physician's Request for Medical Exemption](#) form ([DHHS 3995](#)) to the State Health Director for approval, available at <https://www.immunize.nc.gov/schools/ncexemptions.htm>

Instructions:

1. Complete and sign the form.
2. **Attach a copy of the most current immunization record.**
3. Retain a copy for the patient's medical record.
4. Return the original to the person requesting this form.

Name of Patient _____ DOB _____

Name of Parent/Guardian _____ Primary Phone () _____

Home Address (Patient/Parent) _____ County _____

Name of Child Care/School/College/University _____

A **contraindication** is a condition in a recipient that increases the risk for a serious adverse reaction. A vaccine should not be administered when a contraindication is present. Medical contraindications for immunizations are described in the most recent recommendations by the ACIP, available at <https://www.cdc.gov/vaccines/hcp/acip-recs/general-recs/contraindications.html>

Vaccine	Check all contraindications that apply to this patient below:
<input type="checkbox"/> Diphtheria, tetanus, pertussis (DTaP) <input type="checkbox"/> Tetanus, diphtheria, pertussis (Tdap) <input type="checkbox"/> Tetanus, diphtheria (DT, Td)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component <input type="checkbox"/> For pertussis-containing vaccines: encephalopathy (e.g., coma, decreased level of consciousness, prolonged seizures) not attributable to another identifiable cause within 7 days of administration of a previous dose of DTaP or DTP (for DTaP); or of previous dose of DTaP, DTP, or Tdap (for Tdap)
<input type="checkbox"/> Measles, mumps, rubella (MMR)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component <input type="checkbox"/> Severe immunodeficiency (e.g., hematologic and solid tumors, chemotherapy, congenital immunodeficiency or long-term immunosuppressive therapy), or persons with human immunodeficiency virus [HIV] infection who are severely immunocompromised <input type="checkbox"/> Family history of congenital or hereditary immunodeficiency in first-degree relatives (e.g., parents and siblings), unless the immune competence of the potential vaccine recipient has been substantiated clinically or verified by a laboratory test <input type="checkbox"/> Pregnancy
<input type="checkbox"/> Varicella (Var)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component. <input type="checkbox"/> Severe immunodeficiency (e.g., hematologic and solid tumors, chemotherapy, congenital immunodeficiency or long-term immunosuppressive therapy), or persons with HIV infection who are severely immunocompromised <input type="checkbox"/> Family history of congenital or hereditary immunodeficiency in first degree relatives (e.g., parents and siblings), unless the immune competence of the potential vaccine recipient has been substantiated clinically or verified by a laboratory test <input type="checkbox"/> Pregnancy

<input type="checkbox"/> Inactivated Polio Virus (IPV)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component
<input type="checkbox"/> Hepatitis B (Hep B)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component <input type="checkbox"/> Hypersensitivity to yeast
<input type="checkbox"/> <i>Haemophilus influenzae</i> type B (HiB)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component <input type="checkbox"/> Age younger than 6 weeks
<input type="checkbox"/> Pneumococcal Conjugate (PCV13)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose of PCV13 or any diphtheria-toxoid-containing vaccine or to a component of a vaccine (PCV13 or any diphtheria-toxoid-containing vaccine), including yeast
<input type="checkbox"/> Meningococcal Conjugate (MenACWY)	<input type="checkbox"/> Severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a vaccine component, including yeast

A **physician (M.D. or D.O) licensed to practice medicine in North Carolina** must complete and sign this form.

Date exemption ends or the length of time the exemption will apply for the individual: _____

N.C. Physician's Name (please print) _____ Phone _____

Address _____

N.C. Physician's Signature _____ Date _____

For questions, please contact the North Carolina Immunization Branch Nurse On-Call at (919) 707-5575.

Additional copies of this form can be accessed at: <https://www.immunize.nc.gov/schools/ncexemptions.htm>